

BrokerCheck Report

MATTHEW MITCHELL CHANCEY

CRD# 5645874

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Broker Qualifications	2 - 4
Registration and Employment History	6 - 7



Please be aware that fraudsters may link to BrokerCheck from phishing and similar scam websites, trying to steal your personal information or your money. Make sure you know who you're dealing with when investing, and contact FINRA with any concerns.

For more information read our [investor alert](#) on imposters.

About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

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Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at

brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.



MATTHEW M. CHANCEY

CRD# 5645874

Currently employed by and registered with the following Firm(s):

B **COASTAL EQUITIES, INC.**
WILMINGTON, DE 19801
CRD# 23769
Registered with this firm since: 11/24/2021

IA **COASTAL INVESTMENT ADVISORS**
TAMPA, FL 33602
CRD# 134952
Registered with this firm since: 12/08/2021

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is registered with:

- 1 Self-Regulatory Organization
- 9 U.S. states and territories

This broker has passed:

- 0 Principal/Supervisory Exams
- 3 General Industry/Product Exams
- 2 State Securities Law Exams

Registration History

This broker was previously registered with the following securities firm(s):

IA **DEMPSEY LORD SMITH, LLC**
CRD# 141238
ROME, GA
05/2021 - 11/2021

B **DEMPSEY LORD SMITH, LLC**
CRD# 141238
TAMPA, FL
09/2018 - 11/2021

IA **CLARAPHI ADVISORY NETWORK, LLC**
CRD# 165868
ALISO VIEJO, CA
07/2017 - 12/2019

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**



Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 9 U.S. states and territories through his or her employer.

Employment 1 of 2

Firm Name: **COASTAL EQUITIES, INC.**

Main Office Address: **1201 N. ORANGE STREET
SUITE 729
WILMINGTON, DE 19801**

Firm CRD#: **23769**

SRO	Category	Status	Date
B FINRA	Direct Participation Programs	APPROVED	11/24/2021
B FINRA	General Securities Representative	APPROVED	11/24/2021

U.S. State/ Territory	Category	Status	Date
B California	Agent	APPROVED	11/24/2021
B Colorado	Agent	APPROVED	03/07/2022
B Florida	Agent	APPROVED	11/24/2021
B Nevada	Agent	APPROVED	11/24/2021
B Ohio	Agent	APPROVED	11/24/2021
B South Carolina	Agent	APPROVED	04/19/2022
B Texas	Agent	APPROVED	03/07/2022
B Virginia	Agent	APPROVED	11/29/2021
B Washington	Agent	APPROVED	04/19/2022

Branch Office Locations



Broker Qualifications

Employment 1 of 2, continued

This individual does not have any registered Branch Office where the individual is located.

Employment 2 of 2

Firm Name: **COASTAL INVESTMENT ADVISORS**

Main Office Address: **1201 N. ORANGE STREET
SUITE 729
WILMINGTON, DE 19801**

Firm CRD#: **134952**

U.S. State/ Territory	Category	Status	Date
IA Florida	Investment Adviser Representative	APPROVED	12/08/2021

Branch Office Locations

This individual does not have any registered Branch Office where the individual is located.



Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

Exam	Category	Date
B General Securities Representative Examination	Series 7TO	11/26/2019
B Securities Industry Essentials Examination	SIE	10/01/2018
B Direct Participation Programs Representative Examination	Series 22	09/23/2018

State Securities Law Exams

Exam	Category	Date
B Uniform Securities Agent State Law Examination	Series 63	06/15/2020
IA Uniform Investment Adviser Law Examination	Series 65	03/05/2009

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications



Professional Designations

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



Registration and Employment History

Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
IA 05/2021 - 11/2021	DEMPSEY LORD SMITH, LLC	141238	Tampa, FL
B 09/2018 - 11/2021	DEMPSEY LORD SMITH, LLC	141238	TAMPA, FL
IA 07/2017 - 12/2019	CLARAPHI ADVISORY NETWORK, LLC	165868	Tampa, FL
IA 05/2014 - 07/2017	RETIREMENT WEALTH ADVISORS, INC.	137658	ORLANDO, FL
IA 01/2012 - 05/2014	HORTER INVESTMENT MANAGEMENT, LLC	119880	ORLANDO, FL
IA 08/2011 - 02/2012	GLOBAL FINANCIAL PRIVATE CAPITAL, LLC	132070	ORLANDO, FL
IA 06/2009 - 09/2009	GRADIENT INVESTMENTS, LLC	141726	ORLANDO, FL

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
11/2021 - Present	COASTAL EQUITIES, INC.	REGISTERED REPRESENTATIVE	Y	WILMINGTON, DE, United States
11/2021 - Present	COASTAL INVESTMENT ADVISORS, INC.	INVESTMENT ADVISOR REPRESENTATIVE	Y	WILMINGTON, DE, United States
11/2006 - Present	MICEL FINANCIAL	INSURANCE AGENT/REAL-ESTATE/TEACHING/INVESTMENT ADVISORY	Y	ORLANDO, FL, United States
08/2018 - 11/2021	DEMPSEY LORD SMITH, LLC	REGISTERED REPRESENTATIVE	Y	ROME, GA, United States
07/2017 - 11/2021	CLARAPHI ADVISORY NETWORK, LLC	Investment Advisor Representative	Y	Orlando, FL, United States



Registration and Employment History

Employment History, continued

Employment	Employer Name	Position	Investment Related	Employer Location
05/2014 - 07/2017	RETIREMENT WEALTH ADVISORS, INC.	IAR	Y	JENISON, MI, United States
12/2011 - 05/2014	HORTER INVESTMENT MANAGEMENT, LLC	INVESTMENT ADVISER REPRESENTATIVE	Y	ORLANDO, FL, United States
08/2011 - 05/2014	GLOBAL FINANCIAL PRIVATE CAPITAL	INVESTMENT ADVISER REPRESENTATIVE	Y	SARASOTA, FL, United States

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

(1) MICEL FINANCIAL, LLC; 100 S EOALA DRIVE ORLANDO, FL 32801 DBA; [HTTPS://WWW.MATTCHANCEYLIVE.COM/HOME1625404071938](https://www.mattchanceylive.com/home1625404071938); OWNER/INSURANCE SALES/REAL ESTATE/TEACHING/IAR DBA; COMPENSATION IN THE FORM OF COMMISSIONS AND FEES; 5 HOURS PER WEEK DURING NORMAL TRADING HOURS.

(2) MAKEUR CONSTRUCTION; 687 HAROLD AVENUE WINTER PARK, FL 32789; GENERAL CONTRACTING BUSINESS; WWW.MAKEUR.COM; LICENSE HOLDER & BOARD OF DIRECTORS INVOLVEMENT; COMPENSATION IN THE FORM OF PROFITS; 20-30 HOURS PER YEAR DURING NON-TRADING HOURS.

(3) CORBELO, LLC; 1330 PALMETTO AVENUE STE B WINTER PARK, FL 32799; GENERAL CONTRACTING BUSINESS; WWW.CORBELO.COM; LICENSE HOLDER & BOARD OF DIRECTORS INVOLVEMENT; COMPENSATION IN THE FORM OF PROFITS; 20-30 HOURS PER YEAR DURING NON-TRADING HOURS.

(4) TAX-ALPHA SOLUTIONS; 1211 E. KENNEDY BLVD. TAMPA, FL 33602; HOST OF PODCAST INTERVIEWING INDUSTRY PROFESSIONALS; NO COMPENSATION; 4-8 HOURS PER WEEK DURING NORMAL TRADING HOURS.

End of Report



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